

U.S. Indicia Checklist (Individual & Juristic Person)

Only for shareholder wishing to deposit securities into the Issuer Account

	Date	
I / We		
ID	card / Passport / Juristic Person Registration No	
<u>Fo</u>	<u>r Individual</u>	
1.	I was born in the United States of America (U.S.A.)	☐ Yes* ☐ No
2.	I am a U.S. citizen or I have the second nationality as the U.S.	☐ Yes* ☐ No
3.	I have permanent residence in U.S.A.	☐ Yes* ☐ No
If your answer(s) is/are "Yes" in any of the above answers, you are defined as "the U.S. Indicia"		
under FATCA. TSD therefore reserves the rights not to deposit your securities into the Issuer		
Account. Please select other methods of securities holding.		
Fo	r Juristic person	
1.	Registered in U.S.A.	☐Yes* ☐ No
2.	Is a financial institution under FATCA	□Yes* □ No
	If "Yes", please enter GIIN No. □□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□	
3	Is an entity with investment income / indirect income of 50% or more of total in or having income-generating properties gaining 50% or more of total assets in	
If your answer(s) is/are "Yes" in #1 and/or #3, you are defined as "the U.S. Indicia" under FATCA.		
TSD therefore reserves the rights not to deposit your securities into the Issuer Account.		
Please select other methods of securities holding.		
I hereby certify that the above statement is true. If depositing securities into the Issuer Account causes any damage to Thailand Securities Depository Co., Ltd. (TSD) in any way whatsoever. I accept the responsibility and indemnity to Thailand Securities Depository Co., Ltd. In addition, I acknowledge that TSD may disclose the information contained in this document to the Revenue Department, or other agencies having legal authorities, or having an agreement with TSD to obtain such information. I/We agree to notify and provide relevant documents to TSD within 30 days after any change in circumstances that causes the information provided in this form to be incorrect, or after the date that TSD has requested for additional document/information/ consent.		
Sign shareholder		
	() Tel.	